

09/4/99 08:00 PTO  
01/07/00Please type a plus sign (+) inside this box → 

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	Mills 11
First Inventor or Application Identifier	Allen P. Mills
Title	Method and Apparatus for Temperature Compensation of Read Only Memory
Express Mail Label No.	EE 070 072 392US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Specification [Total Pages 11]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (# filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
 (for continuation/divisional with Box 17 completed)  
 (Note Box 5 below)
  - 1.  DELETION OF INVENTOR(S)  
 Signed statement attached deleting  
 inventor(s) named in the prior application,  
 see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
 The entire disclosure of the prior application, from which a  
 copy of the oath or declaration is supplied under Box 4b, is  
 considered to be part of the disclosure of the accompanying  
 application and is hereby incorporated by reference therein.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(If applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & document(s))
9.  37 C.F.R. §3.73(b) Statement  
(when there is an assignee)  Power of Attorney
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  - Small Entity  Statement filed in prior application,  
(PTO/SB/09-12)  Status still proper and desired
14.  Statement(s)  Status still proper and desired  
(PTO/SB/09-12)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: Certificate of Express Mailing

\* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

 Continuation  Divisional  Continuation-In-Part (CIP)

of prior application No. 09,095,231

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

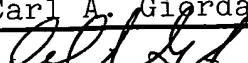
Customer Number or Bar Code Label  
 (Insert Customer No. or Attach bar code label here):

or  Correspondence address below

Name	Carl A. Giordano Gibbons, Del Deo, Dolan, Griffinger & Vecchione
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Address	One Riverfront Plaza
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City	Newark	State	NJ	Zip Code	07102
Country	USA	Telephone	973 596-4671	Fax	973 639-6493

Name (First/Type)	Carl A. Giordano	Registration No. (Attorney/Agent)	41,780
Signature			Date 01/07/00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PTO/SB/17 (1/98)

Approved for use through 9/30/2000. OMB 0651-0032  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$ 964.00)

**Complete if Known**

Application Number	TBA
Filing Date	TBA
First Named Inventor	Mills
Examiner Name	TBA
Group / Art Unit	TBA
Attorney Docket No.	Mills 11

**METHOD OF PAYMENT (check one)**1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number	12-2325
Deposit Account Name	Lucent Deposit

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17       Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

<input type="checkbox"/> Check	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other
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**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	790	201	395	Utility filing fee	690
106	330	206	165	Design filing fee	
107	540	207	270	Plant filing fee	
108	790	208	395	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1) (\$)				690	

**2. EXTRA CLAIM FEES**

Extra Claims	Fee from below	Fee Paid
Total Claims 3 -20" = 13	x 18	234
Independent Claims 3 - 3" = 0	x	
Multiple Dependent		

\*\*or number previously paid, if greater. For Reissues, see below

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	82	209	41	** Reissue independent claims over original patent
110	22	210	11	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)				234

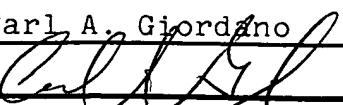
**3. ADDITIONAL FEES**

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	85 Surcharge - late filing fee or oath
127	50	227	25 Surcharge - late provisional filing fee or cover sheet
139	130	139	130 Non-English specification
147	2,520	147	2,520 For filing a request for reexamination
112	920*	112	920* Requesting publication of SIR prior to Examiner action
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action
115	110	215	55 Extension for reply within first month
116	400	216	200 Extension for reply within second month
117	950	217	475 Extension for reply within third month
118	1,510	218	755 Extension for reply within fourth month
128	2,060	228	1,030 Extension for reply within fifth month
119	310	219	155 Notice of Appeal
120	310	220	155 Filing a brief in support of an appeal
121	270	221	135 Request for oral hearing
138	1,510	138	1,510 Petition to institute a public use proceeding
140	110	240	55 Petition to revive - unavoidable
141	1,320	241	660 Petition to revive - unintentional
142	1,320	242	660 Utility issue fee (or reissue)
143	450	243	225 Design issue fee
144	670	244	335 Plant issue fee
122	130	122	130 Petitions to the Commissioner
123	50	123	50 Petitions related to provisional applications
126	240	125	240 Submission of Information Disclosure Stmt
581	40	581	40 Recording each patent assignment per property (times number of properties)
146	790	246	395 Filing a submission after final rejection (37 CFR 1.129(a))
149	790	249	395 For each additional invention to be examined (37 CFR 1.129(b))

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

Reduced by Basic Filing Fee Paid      SUBTOTAL (3) (\$ 40.00)

SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Carl A. Giordano			Reg. Number
Signature				41,780
	Date	01/07/00	Deposit Account User ID	12-2325

**Burden Hour Statement:** This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of :  
**MILLS** : Atty Dkt: **MILLS 11**  
Serial No.: **TBA** : Date: **January 7, 2000**  
Filed: **Herewith** :  
For: **METHOD AND APPARATUS FOR TEMPERATURE COMPENSATION OF**  
**READ-ONLY MEMORY**

**Box Patent Application**

Assistant Commissioner of Patents  
Washington, DC 20231

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"**

Sir:

"Express Mail" Mailing Label No.: EE070072392US

Date of Deposit: January 7, 2000

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents, Washington, DC 20231.

  
Rosangela Medina